

**Government of Canada's agency FINTRAC (Financial Transactions and Reports Analysis Centre of Canada) continues to strengthen regulatory requirements of companies such as ours involved in the business of foreign exchange and money services. A new requirement is that we determine if our clients and any of their beneficiaries are politically exposed foreign persons.**

**We are, therefore, obligated to ask you to respond to the question immediately below. Please provide your response.**

**Are you a Politically Exposed Foreign Person (see description below)? YES  NO**

**A Politically exposed foreign person is defined as "an individual who holds or has ever held one of the following offices or positions in or on behalf of a foreign country":**

- ✓ A Head of State or government
- ✓ A member of the executive council of government or member of a legislature
- ✓ A deputy minister or equivalent
- ✓ An Ambassador or an Ambassador's attaché or counsellor
- ✓ A military general or higher rank
- ✓ A President of a state-owned company or bank
- ✓ A head of government agency
- ✓ A judge
- ✓ A leader or president of a political party in a legislature

**A Politically exposed foreign person also includes the following immediate family member of the individual described above:**

- *Spouse or common-law partner*
- *Mother or father*
- *Child*
- *Brother, sister, half brother or half sister*
- *Spouse or common-law partner's mother or father*

Should you wish to review FINTRAC's website and the specific guideline, this is covered under, <http://www.fintraccanafe.gc.ca/publications/guide/Guide6/6C-eng.asp#77>.

\_\_\_\_\_  
*Signature*

Last Name (CAPITAL LETTERS): \_\_\_\_\_

First Name(s) (CAPITAL LETTERS): \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_



Name \_\_\_\_\_ D.O.B: \_\_\_\_\_ Male:  Female:

Place and Country of Birth: \_\_\_\_\_ ID No. : \_\_\_\_\_

- A) Are you a citizen of Iran? Yes  No
- B) Do you reside or maintain residence in Iran? Yes  No
- C) Are the funds or financial services provided intended for the benefit or on the direction or order of any designated person or third party in Iran or Canada? Yes  No
- D) For the purpose of acquiring a significant interest in an Iranian financial institution still remaining under sanctions? Yes  No
- E) Is the purpose of the financial transaction to:
- 1) Transfer funds directly or indirectly for the benefit of an entity or person listed on the designated list enacted by Government of Canada or UN Security Council? Yes  No
- 2) To invest directly or indirectly in any industry or corporation that may directly or indirectly be related to persons or entities on the designated list enacted by Government of Canada or UN Security Council? Yes  No
- F) Are you involved directly or indirectly in the sale, supply or transfer to any person in Iran, battle tanks, armoured combat vehicles, large calibre artillery system, combat aircrafts, attack helicopters, warships, missiles or strike systems as defined for the purposes of the United Nation Register of Conventional Arms, or related material including spare parts or other items as determined by the security council or the committee established pursuant to Security Council Resolution 1737? Yes  No
- G) Are you involved directly or indirectly in the sale, supply, or transfer to any person in Iran, any technology in respect to any activity related to ballistic missile technology ? Yes  No
- H) Are you directly or indirectly involved in Uranium mining or the production or use of any nuclear material or technology? Yes  No
- I) Purpose or reason of the transaction? \_\_\_\_\_

Dated: \_\_\_\_\_ Signature: \_\_\_\_\_